Announcement Date: June 3, 2019
RFA Release Date: June 17, 2019
Pre-Application Information Session: June 27, 2019
Pre-Application Questions Submission Due: July 8, 2019
Application Submission Deadline: August 5, 2019
Late applications will not be accepted.
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Section I: General Information

1.1 Introduction

The Office of the State Superintendent of Education (OSSE), Division of Health and Wellness (H&W) is soliciting applications for the Healthy Tots Wellness Grant (HTWG). The purpose of the HTWG is to support the dissemination of healthy eating, physical activity, and wellness programming in the District of Columbia early child care community. As such, the grant supports individual behavior changes as well as policy and environmental changes that will promote good health for child development facilities, including licensed community-based facilities and homes.

1.2 Background

The Healthy Tots Act (HTA) of 2014, effective February 26, 2015 (DC Law 20-155; DC Official Code §§38-281 et. seq.) builds on the success of the DC Healthy Schools Act by extending many of its key nutrition, physical activity and wellness provisions to child development facilities, helping level the playing field between community and school-based providers. The HTA aims to reduce obesity and improve the health, wellness, and nutritional status of the more than 6,000 number of children enrolled in community-based child care and family daycare homes in the District of Columbia through healthy meals, physical activity, and nutrition education. In addition to providing reimbursements for facilities to serve more nutritious meals, the HTA requires OSSE to make competitive grants available to support child development facilities in the dissemination of healthy eating, physical activity, and wellness education initiatives in the District of Columbia early child care community.

OSSE is awarding funding to organizations that can provide technical assistance and help implement programming at targeted community-based child development facilities in the District of Columbia. The selected applicants will be required to build capacity and sustainable partnerships within the early learning community. Applicants will be awarded funding to implement programming in these five areas:

- Nutrition Education and Family Style Dining
- Gardening and/or Environmental Sustainability
- Farm to Childcare and Local Food Procurement
- Physical Activity and Education
- Staff Wellness and Center Wellness Policy

Early exposure to nutritious foods, physical activity, and wellness programming can impact a young child’s lifelong determinants of health and well-being. Various studies show that early childhood is a critical period for rapid growth and brain development. Many of the risks for the diseases of adult life (e.g. heart disease) are, in part shaped by learning, coping, and decision-making skills that are set in the earliest years of life.¹ These skills determine performance in the school system and set children onto life pathways that

http://www.founders.net/fn/Entropy.nsf/d6534131a118818f852568ef005dad3a/418d2b65d888570485256583006a78c57OpenDocument
in turn, affect their health and well-being over time\(^2\). Child development facilities are uniquely positioned to help children develop the strong bodies and minds needed for success in preschool and beyond.\(^3\)

OSSE contends that by successfully implementing wellness programming at the more than 150 child development facilities participating in the Child and Adult Care Food Program (CACFP), child development facilities are able to provide an early childhood environment that optimize good health practices, nutrition, and physical activity.

1.3 Intended Population

The intended beneficiaries of the HTWG are young children between the ages of 0 through 5 who attend community-based child development facilities in the District of Columbia. Grants will be awarded to applicants that will not only assist in implementing programming to this age group, but also provide training and support to child care staff, facility leadership, parents, and families.

Section II: Award Information

2.1 Award Period

This grant period covers two fiscal years and is from October 1, 2019, to September 30, 2021, contingent upon funding availability and the grantee’s satisfactory implementation of the proposed program. Year one spans from October 1, 2019 through September 30, 2020. Year two spans October 1\(^{st}\), 2020 through September 30, 2021. Applicants must re-apply for the second year funding.

Continuation of awards in year two is contingent upon:

- the availability of funds;
- the subrecipient’s demonstration that substantial progress has been made toward meeting the objectives set forth in the approved application, based on ongoing monitoring and review of the subrecipient;
- compliance with District and Federal laws, regulations, and guidance;
- operation of the grant program as submitted in the application; and
- the appropriate expenditure of funds throughout each grant award period.

2.2 Available Funding for Award

Applicants must use allocated funding outlined in their budget timeline each fiscal year as funding cannot be rolled over to the next year in the grant period, or funding will lapse. OSSE anticipates awarding between 3-5 applicants, pending funding availability.

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\(^3\) [http://www.dchunger.org/healthy-tots-act/]
2.3 Funding Restrictions

Funds must be used to support activities described in the program requirements of this RFA and included in the applicant’s submission as part of their program plan. No more than 10% of the project budget can be used for purchasing food.

In addition to implementing wellness programming, funds MAY be used for the following activities if they support activities described in this RFA and included in the applicant’s submission:

- Stipends to cover replacement staff time during trainings and professional development, and to supplement time covered outside of normal working hours.
- Stipends for Wellness Coordinators at child development facilities.
- Materials and supplies to implement wellness initiative.
- Professional services such as nutrition educators and yoga instructors for staff, parents, and children.
- Reimbursements for local and grant related travel such as going to and from child care sites, OSSE mandated trainings, and grant related special events.
- Food can be purchased for the following purposes only:
  - Food for demonstration/educational purposes (ex. cooking demo, taste test of healthier food choices).
  - Snacks for parents, children, or community members at grant-related trainings or community events (ex. family fitness night, training for teachers on teaching in a school garden).
  - Any other food purchase requires prior authorization from OSSE.

All snacks/foods purchased with Healthy Tots Wellness Grant funds must meet the requirements of the CACFP meal pattern guidelines. For more information, visit: https://www.fns.usda.gov/cacfp/meals-and-snacks.

If a meal is to be provided to children, it must consist of healthy foods (fresh fruits and vegetables, whole-grain rich products, lean sources of protein, non-fried foods, and no desserts such as candy, cakes, pies or buns). Grantees should strive to purchase local and seasonal produce when available.

The funds MAY NOT be used for:

- Travel expenses outside of to and from child care sites, OSSE mandated trainings, and grant related special events (ex: hotels, airline tickets, and per diem).
- Equipment or other valuable electronics (phones, computers, printers) that are not part of the program.

2.4 Pre-Application Question Period

To ensure an equal opportunity for all applicants, OSSE requests that applicants submit questions regarding the RFA electronically to Patrilie Hernandez, Patrilie.Hernandez@dc.gov by 3:00 pm July 8, 2019. Answers to submitted questions will be made available by July, 15 2019. Questions submitted after this deadline date will not receive responses. Responses to questions will be published on the FAQ page.
Applicants are strongly encouraged to participate in the Pre-Application Information Session on Thursday, June 27, 2019 from 2:00 p.m. - 4:30 p.m. A recording of the information session will be available on the OSSE [website](https://www.eventbrite.com/e/2019-healthy-tots-wellness-grant-pre-application-session-tickets-62256129760).

**Healthy Tots Wellness Grant Pre-Application Information Session**

**Date:** Thursday, June 27, 2019  
**Time:** 2:00 p.m. – 4:30 p.m.  
**Location:** Office of the State Superintendent of Education, 1050 First St NE, Room 324  
**Register Here:** [https://www.eventbrite.com/e/2019-healthy-tots-wellness-grant-pre-application-session-tickets-62256129760](https://www.eventbrite.com/e/2019-healthy-tots-wellness-grant-pre-application-session-tickets-62256129760)

### 2.5 Application Checklist

Applications must meet the following requirements to be eligible for review:

- EGMS Login Credentials, which include access to DUNS number, a SAM expiration date, and central data information. This can take up to 3 days to process.
- All required components of the application are completed.
- All components of the application have been thoroughly reviewed and signed by the appropriate stakeholders.
- The completed application must be submitted, including all narrative responses and uploaded documents, by or before the deadline. Once submitted, an applicant may not amend the application.

### 2.6 Due Date

Applications must be received no later than 3 p.m. on August 5, 2019.

### 2.7 OSSE Contact Information

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Management Analyst  
Healthy Tots Program  
Division of Health and Wellness  
Office of the State Superintendent of Education  
1050 First St NE, 6th Floor  
Washington, DC 20002  
O: (202) 699-8076  
C: (202) 394-2451  
E: Patrilie.Hernandez@dc.gov
Section III: Eligibility Information

3.1 Eligibility Criteria
OSSE will accept applications from community-based organizations (CBOs) that can demonstrate expertise and success working with young children in one or more of these following areas:

- Gardening
- Environmental Sustainability
- Farm to Childcare
- Local Food Procurement
- Nutrition Education
- Family Style Dining
- Physical Activity and Education
- Staff Wellness
- Writing and implementing wellness policies

OSSE will prioritize awarding grants to organizations that provide services to child development facilities that operate in wards 1, 4, 5, 6, 7, or 8 and participate in CACFP. Targeted facilities need to be currently licensed by OSSE and at least 25% of its enrollment must be children occupying child care subsidy slots.

Applicants will be required to demonstrate partnership with at least five child care development facilities through a letter of commitment or letter of interest. Family day care homes are eligible to participate in this grant, but can only apply under the fiscal sponsorship of a CBO or approved Family Day Care sponsor. Returning grantees applying to continue working with facilities served during the fiscal years 2018 and 2019 grant cycle must provide a detailed explanation of the benefits of continuing this work versus reaching out to new facilities.

During the second year of the grant, the grantee must grow their program by bringing on at least five additional child care development facilities, to a total minimum of ten facilities in the two year grant period. OSSE encourages applicants to include populations that are systematically underrepresented and children with special needs. Applicants should describe how their programs will be inclusive and equitable in addition to describing how their own organization demonstrates internal diversity, equity, and inclusion.

Organizations may receive this award up to 6 consecutive years, or three grant cycles.

OSSE will accept one application per organization, however a lead organization may contract other organization(s) that can provides services in another program area to expand reach. Contracted organizations can only appear on one application. Applicants must designate ONE fiscal sponsor to oversee administration and reporting of funds. Applicants may not designate more than one fiscal sponsor. Applicants must incorporate OSSE approved resources for trainings and materials. The grantee must identify and provide an overview of other resources that will be used.
3.2 Indirect Costs

If a community-based organization has an approved indirect cost rate from the federal government, it may use that rate, as long as it doesn’t exceed 10%.

3.4 Fiscal Sponsor

The fiscal sponsor may be either the applicant or a designated lead organization if an application includes several organizations. If the grant is to be remunerated directly to the lead organization, that organization is then designated as the fiscal sponsor as part of the application.

Section IV: Submission Information

4.1 Application Submission

The RFA will be released on June 17, 2019 and the deadline for submission is August 5, 2019 at 3:00pm EST. All applications will be recorded upon receipt through the Enterprise Grants Management System (EGMS). The RFA will be available on OSSE’s EGMS platform, www.osse.dc.gov, and/or by contacting the Division of Health and Wellness at osse.hydt@dc.gov or (202) 442-3268.

4.2 Submission Requirements

All applications are required to be submitted through EGMS. Emailed or faxed applications will not be accepted. Late submissions will not be accepted. Extensions will not be granted. Applicants will have to re-apply to OSSE for subsequent years of funding with continued grant awards contingent upon the availability of funds and grantee’s demonstrated performance. OSSE will notify applicants if the application is not selected for funding.

Section V: Program Requirements

5.1 General HTA Wellness Grant Program Requirements

The purpose of the HTWG is to support the dissemination of healthy eating, physical activity, and wellness education in the District of Columbia early child care community. The HTWG will fund organizations to help eligible child development facilities comply with at least 75% of the OSSE Wellness Guidelines.

The objectives of the HTWG are to:

- Provide child development facilities with targeted assistance and the resources they need to implement wellness programming such as nutrition education, physical activity, and gardening/environmental sustainability, farm to childcare, staff wellness and family style dining.
- Increase the number of child development facilities that are serving locally grown and locally processed and unprocessed foods at least once per week.
- Increase the number of facilities implementing a structured nutrition education and physical activity program.
• Construct gardens and implement environmental programs such as recycling, composting, water and energy conservation.

• Equip staff with resources and support to enhance personal emotional, mental, and physical wellness.

• Have all facilities write and update a Center Wellness policy (see Section 5.6 below).

• Position CBOs to serve as a sustained collaborative network for child care providers in accessing wellness support and resources.

• Make the Healthy Tots Act an integral part of Department of Early Learning (DEL) licensing, and professional development in DC.

• Champion a Wellness Coordinator and/or Wellness Facilitator at every target facility. The Wellness Coordinator is a designated lead person responsible for assisting in the planning, development, implementation and monitoring of wellness programming. The Wellness Coordinator serves as liaison between the facility’s administrative leadership and the implementing organization. The Wellness Facilitator provides technical support to the Wellness Coordinator and contributes structure and process to interactions so participants (staff, parents, organizations, etc.) are able to work together effectively.

Applicants must select at least ONE of the following program areas to implement at a minimum of 10 child development facilities. In year one of implementation, the grantee will reach a minimum of 5 individual child development facility sites. In year two of implementation, a total minimum of 10 sites should be reached per organization. The year one goal is lower to take into consideration the planning process for implementation amongst awarded grantees. Returning grantees applying to continue working with facilities served during the fiscal years 2018 and 2019 grant cycle must provide a detailed explanation of the benefits of continuing this work versus reaching out to new facilities.

Please see the following pages for the program requirements and objectives of each area.

5.2 Program Area Requirements: Nutrition Education and Family Style Dining

The grantee will be responsible for building capacity, overseeing, and reporting on the administration and implementation of a structured nutrition education program at targeted community-based child development facilities participating in CACFP. In addition, the grantee will provide extensive training and technical assistance to child development facilities in successfully implementing family style dining. In order to accomplish this, the grantee must, at a minimum, offer a standardized, evidence-based nutrition education program that aligns with DC Common Core Standards, Early Learning Standards, and OSSE Wellness Guidelines as well as provide family style dining training to staff. Grantees are also expected to assist facilities in purchasing and distributing reusable developmentally appropriate serving dishes, serving utensils, and milk carafes to utilize during mealtime. If a targeted child development facility has the equipment to wash and sanitize a large amount of dishes, the facility can also purchase reusable developmentally appropriate plates, bowls, and eating utensils. Ultimately, the grantee will conduct training to early childhood educators on nutrition education and role modeling healthy behaviors during mealtime, provide technical assistance associated, with establishing
a structured nutrition education and family style dining program, and will also support targeted facilities in engaging parents/families in wellness programming.

Finally, the grantee will be responsible for providing support, food and materials to facilities in order for them to host family meal nights at least quarterly (every three months), inviting a minimum of five families to each meal. Meals must employ family style dining and a nutrition education lesson.

Grantees will be required to complete the following activities under this portion of the grant:

- Submit a detailed strategy on how facilities are to be targeted, complete with a signed agreement with each facility. Applicants will be required to have at least 5 child development facilities fill out an Interest in Services form (available on the OSSE Healthy Tots Act Website), completed and signed by the child development facility administrator during time of application. OSSE will be providing a list of eligible facilities (available on the OSSE Healthy Tots Act Website) and a copy of the Interest in Services form must be submitted with the grant application.

- Provide technical assistance to child development facilities at least one time per week during the grant period to help them successfully implement family style dining.

- Create training materials on family style dining using OSSE approved resources (available on the OSSE Healthy Tots Act Website) as a key resource and guide. The grantee must identify and provide an overview of other resources that will be used.

- Conduct at least two trainings to each designated child development facility’s Wellness Coordinator and Facilitator with 100% participation. The grantee will bring together the Wellness Coordinators and Facilitators for at least two group training/information sharing sessions or work sessions during the first year of the grant period. If the grantee plans to employ a strategy that requires a training schedule and number of Wellness Coordinator and Facilitator contacts that varies from the above requirements, provide a detailed explanation.

- Implement an evidence based nutrition education curriculum that aligns with the DC Early Learning Standards for Infants and Toddlers, DC Early Learning Standards for Pre-K, and OSSE Wellness Guidelines (where applicable). The grantee must identify and provide an overview of the curriculum that will be used. The grantee must also employ USDA Team Nutrition resources whenever possible.

- Provide technical assistance to child development facilities at least one time per week during the grant period to help them successfully implement the nutrition education program. The grantee may begin the grant period by role modeling the delivery of the lessons to enrolled children; however, through training and technical assistance, the Wellness Coordinators, Wellness Facilitators and teachers must gain the competence to deliver lessons independent of the grantee.

- Provide assistance to the Wellness Coordinator and Facilitator in determining, sourcing, purchasing materials and distributing to implement family style dining.

- Support child development facilities in organizing and hosting family meal nights at least quarterly (every three months), inviting a minimum of five families to each meal. This meal will be served family style and employ family-style dining techniques. This meal time must include a nutrition education activity for the children and adults that helps promote the following OSSE Wellness guidelines:
  - Families are educated on the CACFP meal requirements. (FFW1)
Families are provided with information in the appropriate language about how to foster healthy eating, encourage physical activity and limit screen time at home. (FFW3)

Families are offered nutrition education by child development facilities (workshops, special programs, newsletters). (FFW4)

Nutrition education is offered to parents at least twice yearly. Evidence based, peer reviewed, culturally appropriate materials are written in a language and at a level the families can understand. (FFW6)

In all communication with parents/guardians/families, the child care providers show respect for the families' cultures and customs. (FFW7)

- Participate in OSSE-led monthly check in and informational sessions to share progress and best practices.
- Participate as a presenter in the Post Award Grant Conference.

5.3 Program Area Requirements: Physical Activity and Education

The grantee will be responsible for building capacity, overseeing, and reporting on the administration and implementation of a physical activity program in community-based child development facilities that participate in CACFP. The grantee will, at a minimum, train early childhood educators on physical activity delivery and provide technical assistance associated with implementing consistent physical activity program(s) that includes structured teacher-led activities and unstructured play. The grantee will also support targeted facilities in engaging parents/families in programming that supports physical activity.

Grantees will be required to complete the following activities under this portion of the grant:

- Submit a detailed strategy on how facilities are to be targeted, complete with a signed agreement with each facility. Applicants will be required to have at least 5 child development facilities fill out an Interest in Services form (available on the OSSE Healthy Tots Act Website) completed and signed by the child development facility administrator. OSSE will be providing a list of eligible facilities (available on the OSSE Healthy Tots Act Website) and a copy of the Interest in Services form must be submitted with the grant application.
- Conduct at least two training to each designated child development facility's Wellness Coordinator and Facilitator with 100% participation. The grantee will bring together the Wellness Coordinators and Facilitators for at least two group training/information sharing sessions or work sessions during the first year of the grant period. If the grantee plans to employ a strategy that requires a training schedule and number of Wellness Coordinator and Facilitator contacts that varies from the above requirements, provide a detailed explanation.
- Implement an evidence based physical activity intervention that aligns with the DC Early Learning Standards for Infants and Toddlers, DC Early Learning Standards for Pre-K and the OSSE Wellness Guidelines (where applicable). The grantee must identify and provide an overview of the program that will be used.
- Provide technical assistance to child development facilities at least one time per week during the grant period to help them successfully implement the physical activity program. The grantee may begin the grant period by role modeling the delivery of the lessons to enrolled children; however, through
training and technical assistance the Wellness Coordinators, Wellness Facilitators and teachers must gain the competence to deliver lessons independent of the grantee.

- Provide assistance to the Wellness Coordinator and Facilitator in determining, sourcing and purchasing materials to implement structured physical activity and education programming
- Coordinate a parent/family engagement activity at least twice during the grant period that helps promote the following Facility Wellness guidelines:
  - Families receive information about the program's nutrition, breastfeeding, physical activity and screen time policies when they enroll their infants/children. (FFW2)
  - Families are provided with information in the appropriate language about how to foster healthy eating, encourage physical activity and limit screen time at home. (FFW3)
  - In all communication with parents/guardians/families, the child care providers show respect for the families’ culture and customs. (FFW7)
  - All families are welcomed and encouraged to participate in program activities. (FFW8)
- Participate in OSSE-led monthly check in and informational sessions to share progress and best practices.
- Participate as a presenter in the Post Award Grant Conference.

5.4 Program Area Requirements: Farm to Childcare & Local Food Procurement

The grantee will be responsible for building capacity, overseeing, and reporting on the development of a local food procurement and farm to childcare program at targeted child development facilities that participate in CACFP. The grantee will connect facilities to locally grown, and locally processed and unprocessed foods from growers engaged in sustainable agriculture practices. Grantees can also help to connect facilities with producers or distributors that take local produce and minimally process them (cut up, freeze, canned, turn into baby food, etc.) Grantees will assist facilities in serving seasonal, locally grown and/or locally processed and unprocessed foods into their menu at least once a week. Grantees will also integrate farm to childcare concepts into nutrition education activities/education that includes a family engagement component and assist in the coordination in developing a farm to childcare field experience for teachers and/or students.

Grantees will be required to complete the following activities under this portion of the grant:

- Submit a detailed strategy on how facilities are to be targeted, complete with a signed agreement with each facility. Applicants will be required to have at least 5 child development facilities fill out an Interest in Services form (available on the OSSE Healthy Tots Act Website), completed and signed by the child development facility administrator. OSSE will be providing a list of eligible facilities (available on the OSSE Healthy Tots Act Website) and a copy of the Interest in Services form must be submitted with the grant application.
- Conduct at least two trainings to each designated child development facility’s Wellness Coordinator and Facilitator with 100% participation. The grantee will bring together the Wellness Coordinators and Facilitators for at least two group trainings/information sharing sessions or work sessions during the first year of the grant period. If the grantee plans to employ a strategy that requires a training
schedule and number of Wellness Coordinator and Facilitator contacts that varies from the above requirements, provide a detailed explanation.

- Provide technical assistance and procurement methods to child development facilities at least one time per week during the grant period to help them successfully implement the farm to childcare program.
- Assist facilities in determining, sourcing and purchasing local food.
- Coordinate a farm field trip experience for teachers and/or children.
- Coordinate a family engagement activity at least twice during the grant period that help promote the following Facility Wellness guidelines:
  - Families are provided with information in the appropriate language about how to foster healthy eating. (FFW3)
  - Families are offered nutrition education by child development facilities (workshops, special programs, newsletters). (FFW4)
  - Nutrition education is offered to parents at least twice yearly. Evidence based, peer reviewed, culturally appropriate materials are written in a language and at a level the families can understand. (FFW6)
- Participate in OSSE-led monthly check in and informational sessions to share progress and best practices.
- Participate as a presenter in the Post Award Grant Conference.

5.5 Program Area Requirements: Gardening and/or Environmental Sustainability

The grantee will be responsible for building capacity, overseeing, and reporting on the development of a gardening and/or environmental sustainability program at targeted child development facilities that participate in CACFP. Grantees can provide labor and materials to build gardens and integrate gardening education into existing programming. Grantees can also train staff in gardening techniques and help develop a garden sustainability plan. Finally, the grantee can assist in the application of an environmental sustainability education program as well as develop and implement an environmental sustainability project such as a recycling program, a compost project, or a water conservation or energy conservation program.

Grantees will be required to complete the following activities under this portion of the grant:

- Submit a detailed strategy on how facilities are to be targeted, complete with a signed agreement with each facility. Applicants will be required to have at least 5 child development facilities fill out an Interest in Services form (available on the OSSE Healthy Tots Act Website), completed and signed by the child development facility administrator. OSSE will be providing a list of eligible facilities (available on the OSSE Healthy Tots Act Website) and Interest in Services forms must be submitted with the grant application.
- Conduct at least two trainings to each designated child development facility’s Wellness Coordinator and Facilitator with 100% participation. The grantee will bring together the Wellness Coordinators and Facilitators for at least two group trainings/information sharing sessions or work sessions during
the grant period. If the grantee plans to employ a strategy that requires a training schedule and number of Wellness Coordinator and Facilitator contacts that varies from the above requirements, provide a detailed explanation.

- Implement a structured gardening education and/or environmental sustainability program that aligns with the DC Early Learning Standards for Infants and Toddlers, DC Early Learning Standards for Pre-K, and OSSE Wellness Guidelines (where applicable). The grantee must identify and provide an overview of the program that will be used.
- Provide technical assistance to child development facilities at least one time per week during the grant period to help them successfully implement the gardening/environmental sustainability program.
- Provide materials for building and installing gardens, or materials for an environmental sustainability project (recycling program, composting, and water or energy conservation).
- Coordinate a field experience for each facility to an urban garden, farm, or other space that focuses on environmental sustainability.
- Coordinate a parent/family engagement activity at least twice during the grant period event focused on garden-based nutrition education and/or environmental sustainability that help promote the following Facility Wellness guidelines:
  - In all communication with parents/guardians/families, the child care providers show respect for the families' cultures and customs. (FFW7)
  - All families are welcomed and encouraged to participate in program activities. (FFW8)
- Participate in OSSE-led monthly check in and informational sessions to share progress and best practices.
- Participate as a presenter in the Post Award Grant Conference.

5.6 Program Area Requirements: Staff Wellness Program and Center Wellness Policy

The grantee will be responsible for assisting facilities in implementing a staff wellness program and developing a facility wellness policy at child development facilities in the District. The grantee will also be responsible for assisting in the implementation of a staff wellness program that supports good nutrition, physical activity, mindfulness, stress management, and the formation of healthy habits. The facility wellness policy will be tailored by the results of facility self-assessment. The staff wellness program will be tailored by the results of an employee interest survey. Finally, grantees will also assist in the implementation of a Wellness Council with a staff wellness initiative targeting one or more of the following areas:

1. Managing Stress and Incorporating Mindfulness
2. Increasing water consumption and healthy beverage education
3. Incorporating physical activity as part of a healthy lifestyle
4. Increasing knowledge in nutrition education and cooking
5. Health education or other individual behaviors that impact health (mental health awareness, tobacco and alcohol use, importance of health screenings)

Grantees will be required to complete the following activities under the OSSE Staff Wellness Grants:
• Submit a detailed strategy on how facilities are to be targeted, complete with a signed agreement with each facility. Applicants will be required to have at least 5 child development facilities fill out an Interest in Services form (available on the OSSE Healthy Tots Act Website), completed and signed by the child development facility administrator. OSSE will be providing a list of eligible facilities (available on the OSSE Healthy Tots Act Website) and Interest in Services forms must be submitted with the grant application.

• Create training materials using OSSE approved resources (available on the OSSE website) as a key resource and guide. The grantee must identify and provide an overview of other resources that will be used.

• Assist facilities in establishing and sustaining a Wellness Committee. This will include:
  o Identifying a Wellness Coordinator, Wellness Facilitator(s), and wellness “Champions”
  o Include teachers, parent/family and community member in Wellness Committee activities. Families are encouraged to participate in the program's Wellness Committee. At least one family must participate.

• Provide technical assistance and support to child development facilities in developing and conducting an employee health interest survey. The grantee will assist in reviewing results and developing the staff wellness initiatives.

• Provide technical assistance and support to child development facilities in developing and conducting the OSSE Wellness Guidelines: Self-Assessment for Child Development Facilities. The grantee will assist in reviewing results and developing a Facility Wellness Policy. The Wellness Policy will include realistic and measurable goals based on the Step by Step Guide to implementing the OSSE Wellness Guidelines. At least once a year, the facility will review progress on implementing the wellness policy.

• Incorporate the following OSSE Wellness Guidelines into the Facility Wellness Policy:
  o Foods and beverages are not used as rewards or punishments. (NE18)
  o Children are not forced or bribed to eat. (NE19)
  o All staff role model healthy eating by eating foods that do not match wellness standards in the staff break area, away from view of children. (NE16)
  o All staff role model healthy eating by transferring all beverages from their original container to cups that do not expose food company logos. (NE17)
  o Staff role model positive behaviors by being physically active with children, both indoors and outdoors. (PA12)
  o Every child care provider, including directors, food service workers and frontline staff, share the responsibility of providing nutrition education. (NE20)

• Incorporate the following professional development guidelines into the Facility Wellness Policy:
  o Staff attends internal and/or external training on nutrition/healthy eating at least two times per year. (This is separate from food safety trainings.) (PD1)
  o Staff attends internal and/or external training opportunities that teach age-appropriate gross motor activities and games that promote physical activity at least two times per year. (PD2)
  o Child care providers’ professional development includes available evidence-based materials that can be used to teach a basic knowledge of nutrition to children. (PD3)
  o Professional development includes training on breastfeeding for all new employees and at least once per year thereafter. (PD4)
• Facility offers its staff resources and/or trainings on how to increase their personal wellness through physical activity at least once per year. (PD6)
• Facility offers its staff resources and/or trainings on stress reduction and stress management. (PD7)
• Facility offers its staff resources and/or trainings on how to increase their personal wellness through healthful eating/chronic disease prevention at least two times per year. (PD8)
• Coordinate a family engagement activity at least two times during the grant period event focused on the selected staff wellness target initiative. The event will be led by child care staff and will incorporate concepts learned during training and program implementation will promote the following OSSE Wellness Guidelines:
  o Families are encouraged to participate in the program's Wellness Advisory Council. At least one family must participate. (FFW5)
  o In all communication with parents/guardians/families, the child care providers show respect for the families' cultures and customs. (FFW7)
  o All families are welcomed and encouraged to participate in program activities. (FFW8)
• Participate in OSSE-led monthly check in and informational sessions to share progress and best practices.
• Participate as a presenter in the Post Award Grant Conference.

Section VI: Application Forms and Content
6.1 Application Forms

All applicants are required submit the following items:

• Certifications signed by an Authorized Official
• Assurances

6.2 Program Abstract

Applicants must include a one-page abstract of the program plan to implement the selected program area(s). The abstract should provide an overview of the plan. The abstract may also be distributed to provide information to the general public. As a result, applicants must prepare a clear, accurate, concise abstract that can be understood without reference to other parts of the application, and provides a description of the proposed project; mechanism for delivering services; specific geographic area to be served; description of the intended population; overarching goals; and a short description of the program selected. Program abstract should include the organization's name, location, and the program manager’s name.

6.3 Program Narrative

The program narrative must contain a detailed description of the following:
6.3.1 Project Narrative: Equity and Inclusion

Please provide a brief narrative for each of the following sections:

1. How is a commitment to diversity, equity and inclusion reflected in your mission, vision, goals, and work plans? How is it reflected in your staff, executive leadership, and board?
2. Please describe how your proposed program will serve the intended population for the Healthy Tots Wellness Grant. This includes populations that are systematically underrepresented and those with special needs. Applicants should describe in their application how their programs will be equitable and inclusive.
3. Please describe how you will ensure equal opportunity for reaching childcare facilities in target wards of the city, including specific examples of how you have demonstrated this in past work. Provide a plan that outlines outreach methods and the selection process of facility.

6.3.2 Project Narrative: Project Vision and Implementation Plan

Please provide a brief narrative for each of the following sections:

1. Please describe your implementation plan for the program area(s), including a timeline. Applicants must provide a description of how the organization plans to govern and manage the execution of its overall program. If you are a previous recipient of the HTWG, describe how your implementation plan builds upon or is a continuation of the program implemented in the previous grant period. Returning grantees applying to continue working with facilities served during the fiscal years 2018 and 2019 grant cycle must provide a detailed explanation of the benefits of continuing this work versus reaching out to new facilities.
2. Please describe how you will manage the program area(s). Include the applicant’s governance structure, roles/responsibilities, operating procedures, and communication plans to demonstrate adequate planning, monitoring, financial management, and control of the overall project. Identify and describe how education, training, and monitoring processes will be used to maintain fidelity to the evidence-based program. Applicant must provide a staff transition plan to address any unforeseeable staff turnover, ensuring that the program will not be interrupted.
3. Please provide realistic estimates of the overall number of program(s) participants and the number of proposed project site(s). Please indicate how many participants are expected to participate in the first and second years of implementation. In year one of implementation, the OSSE expects each organization to reach a minimum of 5 facilities. Additionally, in year two of implementation, the OSSE expects a minimum of 10 facilities. The year one goal is lower to take into consideration the planning process for implementation amongst awarded organizations.
4. Please describe any challenges that you anticipate and describe how you will overcome these challenges.
5. Please briefly describe the resources and funding required to implement the plan. Indicate what your current level of resources and assets to implement the program are and how are you going to financially support the first 3 months of your program.
6.3.3 Narrative: Collaboration with Partners and Stakeholders

1. Please indicate if your application will be strengthened by the inclusion of credible stakeholder organizations and detail which organization will be working with which program area.
2. If you are partnering with other organizations, please include documents and/or clear descriptions of formal partnerships and the rationale as to how they will enhance their programming and accomplish the intended program outcomes. Include any Letters of Support and/or Memoranda of Understanding from all participating sites, resources and/or partners.

6.3.4 Project Narrative: Summary of Qualifications

1. Please indicate if you have applied for or received the HTWG before.
2. Please describe your past experience partnering with child development facilities.
3. Please describe your past experience working with field trip sites and transportation companies.
4. Please explain your commitment to increasing the numbers of young children in the District who have access to wellness programming, including examples of your work in the selected program area(s).

6.3.5 Project Narrative: Performance and Impact

1. Please provide specific examples of outcomes (short, medium, and long term) of how childcare facilities implementing program areas will be impacted by grant activities.
2. Please provide information on how you will measure impact. Discuss how you will track and complete their performance measures in a timely fashion.

6.3.6 Project Narrative: Cost-Effectiveness of Budget

1. Please describe how proposed costs were determined and the measures taken to ensure that the project is cost effective.
2. Please provide a Budget and Budget Justification Narrative that describes all proposed costs. The budget narrative must thoroughly describe how the proposed categorical costs are derived. Discuss the necessity and reasonableness of the proposed costs. The application must include the allowable activities that will take place during the funding period and outline the estimated costs that will be used specifically in support of the program.
3. Please demonstrate how all costs are essential to the success of the project and are clearly related to the vision and implementation plan for the project.

6.4 Required Attachments to the Application

All the following required documentation must be submitted upon the initial submission of this application using the File Upload process in EGMS:
• Resumes and position description of Program Manager and key staff members
• Current and valid Letters of Support and/or Memoranda of Understanding from all partnering organizations
• At least 5 Interest of Services Forms, completed and signed by the child development facility administrator
• An organizational chart, program organization chart and map describing the multiple sites in each group of the project
• A table indicating the expected total number program participants that will be served per year at each program site
• A copy of a curriculum/intervention/program being implemented. This will be reviewed and matched to the OSSE Health Education Standards. Please note this curriculum will not be distributed for public use. Please include a description of what changes were made and why.
• A Budget Narrative/Justification. The budget narrative must thoroughly describe how the proposed categorical costs are derived. Discuss the necessity and reasonableness of the proposed costs. The application must include the allowable activities that will take place during the funding period and outline the estimated costs that will be used specifically in support of the program.
• 501(c)(3) Determination Letter if applicable
• Current W9 Form
• Current Master Collection Form
• Basic Business License or Charitable Solicitations License

Copies of these forms may be downloaded from the Healthy Tots Act webpage. (https://osse.dc.gov/node/1132616)

Section VII: Application Review Information

7.1 Review Process

Applications will be screened initially by OSSE staff to determine whether all application and eligibility requirements have been met. Only applications that meet all eligibility and application requirements will be evaluated, scored, and rated by the review panel. OSSE will use external peer reviewers to review and score the applications received for this RFA. An external peer reviewer is an expert in the field or the matter. Scoring and recommendations of the review panel are advisory only. The final decision to award a Healthy Tots Wellness Grant rests solely with OSSE. After reviewing the recommendations of the review panel and any other information considered relevant, OSSE shall decide which applicant to fund, as well as the funding amount.

7.2 Application Criteria and Scoring

All applications that meet the application criteria will be reviewed and scored by an external review panel using the following criteria. This criteria allows the external peer reviewers and OSSE staff to determine an applicant’s justification of need for grant funds, the soundness of its proposed service delivery plan,
the adequacy and reasonableness of proposed resources needed, and demonstrated capability for managing the proposed program.

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Points</th>
</tr>
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<tbody>
<tr>
<td><strong>Program Abstract</strong></td>
<td></td>
</tr>
<tr>
<td>1. Applicant provides a clear, accurate, concise description of the proposed project.</td>
<td></td>
</tr>
<tr>
<td>2. Applicant briefly describes mechanism for delivering services, specific geographic area to be served; description of the intended population; overarching goals.</td>
<td></td>
</tr>
<tr>
<td>3. Abstract includes the organization’s name, location, and the program manager’s name.</td>
<td></td>
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<tr>
<td><strong>Equity and Inclusion</strong></td>
<td>12</td>
</tr>
<tr>
<td>1. Applicant demonstrates a commitment to racial equity, diversity, and inclusion within their organization.</td>
<td></td>
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<tr>
<td>2. Applicant explains how their program will be inclusive and equitable.</td>
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<tr>
<td>3. Applicant provides a clear explanation of how the program will benefit the intended population.</td>
<td></td>
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<tr>
<td>4. Applicant provides an outreach plan and timeline that will ensure equal opportunity for reaching children in childcare facilities in highest need wards of the city.</td>
<td></td>
</tr>
<tr>
<td><strong>Project Vision and Implementation Plan</strong></td>
<td>25</td>
</tr>
<tr>
<td>1. Applicant provides a clear implementation and delivery plan, including a timeline of major milestones.</td>
<td></td>
</tr>
<tr>
<td>2. Applicant provides a fair estimate of how many participants will be included and how many childcare facilities will be included in the project. (Provided by the Interest of Services Form)</td>
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<tr>
<td>3. Applicant anticipates challenges and has a plan in place to overcome these challenges.</td>
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<tr>
<td>4. Applicant clearly describes the resources required to implement the plan.</td>
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<tr>
<td><strong>Collaboration with Partners and Stakeholders</strong></td>
<td>20</td>
</tr>
<tr>
<td>1. Applicant clearly describes the role of partnering organizations and provides evidence that partners will effectively collaborate to carry out the activities of the grant.</td>
<td></td>
</tr>
<tr>
<td>2. Applicant clearly describes why each supporting partner was chosen and what services or skills they bring to the project.</td>
<td></td>
</tr>
</tbody>
</table>
### Summary of Qualifications

1. Applicant provides evidence of excellent past performance partnering with schools or childcare facilities on wellness activities.
2. Applicant clearly describes their commitment to increasing the number of children in the District who have access to wellness programming.

### Performance and Impact

1. Applicant provides short, medium, and long term outcomes of how childcare facilities implementing program areas will be impacted by grant activities.
2. Applicant provides performance measures and example of how they will measure impact.

### Cost-Effectiveness of Budget

1. Applicant is clear about how proposed costs were determined and steps were taken to ensure the project is cost-effective.
2. All proposed costs are described in the Budget and Budget Justification Narrative. Applicant provides a clear explanation of the source and value determination of in-kind contributions. All proposed costs are essential to the success of the project and are clearly related to the vision and implementation plan of the project.

### 7.3 Description of Scoring

The criteria above will be scored using the following indicators:

- **Missing**: The category is not addressed.
- **Does Not Meet Expectations**: The applicant is missing a very large portion of the category, fails to provide information, provides inaccurate information, or provides information that is not discernible.
- **Working towards Expectations**: The applicant provides unclear and non-specific information, partially addresses the category, but provides limited information about approach and strategies. The answers lack focus and detail.
- **Meets Expectations**: The applicant provides general but sufficient detail, adequately addresses the category; however, some areas are not fully explained and/or questions remain. The application has some minor inconsistencies and weaknesses.
- **Exceeds Expectations**: The applicant provides specific and comprehensive information, and provides complete, detailed, and clearly articulated responses to address the category. The description is well-conceived and the ideas are fully developed and original.
All applications for this RFA will be objectively reviewed and scored against the following application areas and key criteria:

- Program Abstract (3 points)
- Equity and Inclusion (12 points)
- Project Vision and Implementation (25 points)
- Collaboration and Stakeholders (20 points)
- Summary of Qualifications (10 points)
- Performance and Impact Measurement (20 points)
- Budget Narrative Justification (10 points)

Scoring and recommendations of the review panel are advisory only. The final decision to fund programs rests solely with the OSSE. After reviewing the recommendations of the review panel, information gathered during the internal review, and any other information considered relevant, the OSSE shall decide which applicants to fund.

Section VIII: Award Administration

8.1 Decision and Notifications of Awards
In order to be awarded a grant, organizations must establish eligibility by submitting an application to OSSE in accordance with the relevant program statute(s) and this RFA. Each awarded applicant will receive a Grant Award Notification (GAN) generated through OSSE’s electronic grant management system (EGMS) that will include the award amount, award agreement, terms and conditions of the award, and any supplemental information required. Once OSSE has fully approved the application and issued an official GAN, grantees may then receive payment for allowable expenditures for which obligation was made during the grant period. OSSE has implemented a reimbursement process for all grantees. Grant award payments are reimbursable at any point but must be at least be submitted on a quarterly basis for a certain percentage of the grantee’s award. Program costs must be paid by the grantee to the payee prior to requesting reimbursement; it is not sufficient for costs merely to be incurred. Compliance with programmatic and fiscal implementation and reporting will be considered in paying reimbursement requests. To receive reimbursement for grant program expenditures, OSSE grantees must complete and submit a reimbursement request electronically using EGMS.

OSSE will notify all applicants of the final award decision no later than September 23, 2019.

8.2 Audits
At any time or times before final payment and during the required record retention period, the District and/or the federal government may audit the applicant’s expenditure statements and source documentation.

8.3 Monitoring and Reporting
The recipient will cooperate with any evaluation of the program, such as providing OSSE requested data and access to records and pertinent staff. Monitoring efforts are designed to determine the grantee’s
level of compliance with federal and/or District requirements and identify specifically whether the grantee’s operational, financial and management systems and practices are adequate to account for program funds in accordance with federal and/or District requirements. Failure to maintain compliance with such requirements may result in payment suspension, disallowance of costs or termination of the grant.

The grant recipient’s effectiveness is determined based upon the following information:

- Responses to questions in the mid-project and final report.
- Responsiveness to requests and inquiries from OSSE.
- Ability to keep detailed records of funds spent.
- Ability to support schools or childcare facilities/homes across the District.

All awards will be reviewed annually for compliance with programmatic and fiscal requirements. Monitoring results shall be taken into consideration in determining whether an applicant may be awarded the grant after the initial year of the grant.

Grantees shall be required to cooperate with all requirements and information requests by OSSE relating to evaluation of the program and the collection of data, information, and reporting on outcomes regarding the program and activities carried out with grant funds. Grantees shall be required to reply and acknowledge OSSE’s information requests within 48 hours and to provide requested information within ten (10) business days.

8.4 Confidentiality

Except as otherwise provided by local or federal law, no recipient of a Healthy Tots Wellness Grant shall use or reveal any research, statistical, or personally identifiable information for any purpose other than that for which such information was obtained in accordance with the Healthy Tots Wellness program. Such information, and any copy of such information shall be immune from legal process and shall not, without the consent of the person furnishing such information, be admitted as evidence or used for any purpose in any action, suit, or judicial, legislative, or administrative proceeding.

8.5 Nondiscrimination in the Delivery of Services

The grant recipient shall comply with the District of Columbia Human Rights Act of 1977, as amended, (D.C. Official Code § 2-1401.01 et seq.) which prohibits discrimination based on race, color, religion, national origin, sex, age, marital status, personal appearance, sexual orientation, gender identity or expression, familial status, family responsibilities, matriculation, political affiliation, genetic information, source of income, disability, status as a victim of an interfamily offense, place of residence or business, or credit information.

8.6 Appearance of a Conflict of Interest

The grant recipient shall ensure that no individual in a decision-making capacity will engage in any activity, including participation in the selection of a vendor, the administration of an award, or an activity supported by award funds, if the appearance of a conflict of interest would be involved. An appearance of a conflict of interest would arise when the individual, any member of the individual’s immediate family,
the individual’s partner; or an organization that employs, or is about to employ, any of the
aforementioned, has a financial or personal interest in the firm or organization selected for a contract.

8.7 Terms and Conditions

- Funding for this award is contingent on available funds. The RFA does not commit OSSE to make an award.
- OSSE reserves the right to accept or deny any or all applications if the agency determines it is in the best interest of the agency to do so. OSSE shall notify the applicant if it rejects that applicant’s proposal. OSSE may suspend or terminate an outstanding RFA pursuant to its own grant-making rule(s) or any applicable regulation or requirement.
- OSSE reserves the right to issue addenda and/or amendments subsequent to the issuance of the RFA, or to rescind the RFA.
- OSSE shall not be liable for any costs incurred in the preparation of applications in response to the RFA. Applicant agrees that all costs incurred in developing the application are the applicant’s sole responsibility.
- OSSE may conduct pre-award on-site visits to verify information submitted in the application and to determine if the applicant’s facilities are appropriate for the services intended.
- OSSE may enter into negotiations with an applicant and adopt a firm funding amount or other revision of the applicant’s proposal that may result from negotiations.
- OSSE shall provide the citations to the statute and implementing regulations that authorize the grant or sub grant; all applicable federal and District regulations; payment provisions identifying how the grantee will be paid for performing under the award; reporting requirements, including programmatic, financial and any special reports required by OSSE; and compliance conditions that must be met by the grantee.
- If there are any conflicts between the terms and conditions of the RFA and any applicable federal or local law or regulation, or any ambiguity related thereto, then the provisions of the applicable law or regulation shall control and it shall be the responsibility of the applicant to ensure compliance.

8.7 Assurances

Central Data Assurances

Applicants will be required to attest to the following specific assurances:

1. If the grant is federally funded, recipient assures that it shall file a disclosure form at the end of each calendar quarter in which there occurs any event that requires disclosure or that materially affects the accuracy of any previously filed disclosure under 28 CFR Part 69, “New Restrictions on Lobby.” See 28 CFR § 69.110(c).
2. If the grant is federally funded, recipient assures that it shall give immediate written notice to OSSE if it failed to disclose information required by federal regulations implementing 2 CFR Part 180, “Guidelines to Agencies on Governmentwide Debarment and Suspension (Non-procurement),” or if due to changed circumstances, the applicant or any of its principals now meet any of the following criteria:

25
A. Are presently debarred, suspended, proposed for debarment, excluded, disqualified, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal Court, or voluntarily excluded from covered transactions by any Federal department or agency.

B. Have within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property, making false claims, or obstruction of justice; or commission of any other offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility.

C. Are presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in subparagraph (B) of this certification.

D. Have within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default. See 2 CFR §180.350.

3. We will immediately notify OSSE, in writing, if either of the following occurs during the grant period:
   A. We or any of our officers, partners, principals, members, or key employees is indicted or has charges brought against them and/or is convicted of (i) any crime or offense arising directly or indirectly from the conduct of the applicant’s organization; or (ii) any crime or offense involving financial misconduct or fraud;
   B. We or any of our officers, partners, principals, members, or key employees becomes the subject of legal proceedings arising directly from the provision of services by the organization.

4. We shall comply with all terms and provisions of the OSSE Subrecipient Monitoring Policy, as may be amended.

5. We shall provide, upon request and pursuant to any timelines and/or formatting requirements established by OSSE in the LEA Data Management Policy, as applicable, or other OSSE data collection directive or policy, any records or data for the purposes of compliance with the federal or state data collection and reporting requirements, including EDFacts, compliance with federal or state grant administration requirements, inclusion, and/or preparation of the Annual School Report Card.

6. We are able to maintain adequate files and records and can and will meet all grant reporting requirements;

7. Our fiscal records are kept in accordance with Generally Accepted Accounting Principles (GAAP) and account for all funds, tangible assets, revenue, and expenditures whatsoever; that all fiscal records are accurate, complete and current at all times; and that these records will be made available for audit and inspection as required.

8. We have demonstrated administrative and financial capability to provide and manage the proposed services and ensure an adequate administrative performance and audit trail;

9. If required by the grant making agency, we are able to secure a bond, in an amount not less than the total amount of the funds awarded, against losses of money and other property caused by fraudulent or dishonest acts committed by any employee, board member, officer, partner, shareholder, or trainee;

10. We have the financial resources and technical expertise necessary for the production, construction, equipment and facilities adequate to perform the grant or sub grant, or the ability to obtain them;

11. We have a satisfactory record performing similar activities as detailed in the award or, if the grant award is intended to encourage the development and support of organizations without significant previous experience, that we have otherwise established that we have the skills and resources necessary to perform the grant;
12. We have a satisfactory record of integrity and business ethics;
13. We have the necessary organization, experience, accounting and operational controls, and technical skills to implement the grant, or the ability to obtain them;
14. We are in compliance with the applicable District licensing and tax laws and regulations;
15. We meet all other qualifications and eligibility criteria necessary to receive an award under applicable laws and regulations;
16. We agree to indemnify, defend and hold harmless the Government of the District of Columbia and its authorized officers, employees, agents, and volunteers from any and all claims, actions, losses, damages, and/or liability arising out of this grant, or sub grant from any cause whatsoever, including the acts, errors, or omissions, of any person and for any costs or expenses incurred by the District on account of any claim therefore, except where such indemnification is prohibited by law;
17. We will retain all records, supporting documents, statistical records, and all other records pertinent to a Federal or local award for a period of five years from the date of submission of the final expenditure report or other required report, as appropriate. DC City-Wide Grants Manual and Sourcebook §8.8 Agency Post-Award Responsibilities; 34 CFR §81.31(c).
18. If the grant is locally funded, the recipient assures that it will (1) maintain effective control over, and accountability for, all personal property purchased with local grant funds by adequately safeguarding all assets, particularly equipment and any computing devices, and assuring that they are used solely for authorized purposes and (2) seek disposition instructions from OSSE when equipment (property with a purchase price of greater than $5,000) acquired under an award is no longer needed. OSSE further reserves the right to require the grantee to return the grant-funded share of any equipment or residual inventory of unused supplies (all tangible property other than equipment) exceeding $5,000 in total aggregate value at the end of the grant period.
19. Recipient assures it will abide by the prohibitions and protections required by the District of Columbia December 18, 2017 Mayor’s Order 2017-313, Sexual Harassment Policy, Guidance and Procedures, as applicable to grantees.
20. Recipient assures it can comply with the required or proposed delivery or performance schedule, taking into consideration all existing and reasonably expected commercial and governmental business commitments;
22. The recipient may copyright any work that is subject to copyright and was developed, or for which ownership was acquired, under the award. If the grant is locally funded, the recipient grants OSSE a worldwide, non-exclusive, royalty-free, perpetual, and irrevocable license for any copyrightable work to (i) access, reproduce, publicly perform, publicly display, and distribute the copyrightable work; (ii) prepare derivative works and reproduce, publicly perform, publicly display and distribute those derivative works; and (iii) otherwise use the copyrightable work, provided that in all such instances attribution is given to the copyright holder.

Acknowledgement Assurances

The recipient shall comply with all applicable District and Federal statutes and regulations as may be amended from time to time, including, but not necessarily limited to:

3. The Hatch Act, Pub. L. 103-94 (5 U.S. Code § 7321 et seq.)
6. The Hobbs Act (Anti-Corruption), Chap 537, 60 St. 420 (18 U.S.C. § 1951)
13. Assurance of Nondiscrimination and Equal Opportunity (29 CFR § 34.20)
15. Title VI of the Civil Rights Act of 1964
23. Uniform Relocation Assistance and Real Property Acquisition Act of 1970, as amended (P.L. No. 91-646)

Executive Order 11593


**Certifications**

The applicant shall be required to provide the following certifications:

1. **Lobbying**
   
   If the grant is federally funded and as required by Section 1352, Title 31 of the U.S. Code and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over $100,000, as defined at 28 CFR Part 69, the applicant certifies, to the best of his or her knowledge and belief, that

   A. No federal appropriated funds have been paid or will be paid, by or on behalf of the aforesigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement;

   B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal grant or cooperative agreement, the aforesigned shall complete and upload Standard Form LLL, “Disclosure of Lobbying Activities,” in accordance with its instructions. The form may be uploaded within the applicant’s application in EGMS.

   C. The applicant shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

2. **Debarment, Suspension, and Other Responsibility Matters**
   
   If the grant is federally funded and as required by applicable federal regulations implementing Office of Management and Budget (OMB) guidelines at 2 CFR Part 180, “Guidelines to Agencies on Governmentwide Debarment and Suspension (Non-procurement),” for prospective participants in a covered transaction:

   A. The applicant certifies that it and its principals:
      
      i. Are not presently debarred, suspended, proposed for debarment, excluded, disqualified, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal Court, or voluntarily excluded from covered transactions by any Federal department or agency.

      ii. Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false claims, or obstruction of justice; or commission of any other offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility;
iii. Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in subparagraph (i) of this certification; and

iv. Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attached an explanation to this application.

3. Criminal Offenses or Legal Proceedings
The applicant must disclose in a written statement whether the applicant or any of its officers, partners, principals, members, associates, or key employees, within the last three years prior to the date of the application has:

A. Been indicted or had charges brought against them (if still pending) and/or been convicted of any crime or offense involving financial misconduct or fraud; or

B. Been the subject of legal proceedings from the provision of services by the organization.

If the response for 3(A) or 3(B) is in the affirmative, the applicant shall fully describe any such indictments, charges, convictions, or legal proceedings (and the status and disposition thereof) and the surrounding circumstances in writing and provide documentation of the circumstances.

“The applicant is prohibited from including any individual’s personally identifiable information, including but not limited to any data protected under the Family Educational Rights and Privacy Act, without also providing that individual’s written consent for the release of that information. Personally identifiable information is information that can be used to distinguish or trace an individual’s identity, either alone or when combined with other personal or identifying information that is linked or linkable to a specific individual.”

4. Political Campaigns and Contributions (for locally funded grants of $100,000 or more)
If the grant is $100,000 or more of local funds and in accordance with D.C. Official Code §1-328.15, I certify, under penalty of perjury, that the applicant is eligible to receive this grant award because the applicant and any of its officers, principals, partners, or members has not made a contribution (as that term is defined in D.C. Official Code §1-1161.01) or solicited such a contribution to be made for a District of Columbia general election within the time periods as described below:

A. The applicant is ineligible to receive this grant from the date a contribution or solicitation for a contribution was made and continuing for one year after the general election for which the contribution or solicitation for contribution was made, whether or not the contribution was made before the primary election, to any of the following:
   i. An elected District of Columbia official who is or could be involved in influencing or approving the award of this grant;
   ii. A candidate for elective District of Columbia office who is or could be involved in influencing or approving the award of this grant; or
   iii. A political committee affiliated with a District candidate or elected District official described in (i) or (ii) above.

5. Compliance with Tax and Other Payments
The applicant certifies that it is current and shall remain current on payment of all federal and District taxes, as applicable, including Unemployment Insurance taxes and Workers’ Compensation premiums. This statement of certification shall be accompanied, as appropriate, by a certificate from the District of
Columbia OTR stating that the entity has complied with the filing requirements of District of Columbia tax laws and has paid taxes due to the District of Columbia, or is in compliance with any payment agreement with OTR. If applicable, please upload the OTR statement of certification here.


Is the applicant a registered domestic entity or registered foreign entity with DCRA’s Corporations Division?

☐ Yes
☐ No

If yes, you must submit a Certificate of Good Standing below.

7. Acknowledgment of Accuracy
I certify that, to the best of my knowledge and belief, the information contained in this application is correct. I understand that to falsify information is grounds for denial or termination of any grant award.